# **Copy from Prior Application**

## DECLARATION AND POWER OF ATTORNEY

1

As the below named inventor, I declare that:

\_\_\_X is attached hereto; or

My residence, post office address and citizenship are as stated below next to my name, that I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention or design entitled STORAGE ROUTER AND METHOD FOR PROVIDING VIRTUAL LOCAL STORAGE, the specification of which (check one):

was filed on as
Application Serial No and was
amended on (if applicable);
that I have reviewed and understand the contents of the
above-identified specification, including the claims, as
amended by any amendment referred to above; and that I
acknowledge the duty to disclose to the U.S. Patent and
Trademark Office all information known to me to be material to
patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

			Priority
	·	Date	Claimed
Number	Country	<u>Filed</u>	(Yes) (No)

None.

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application
Serial Number Date Filed Status

None.

### I hereby appoint:

Jerry W. Mills	Reg. 1	No.	23,005
Robert M. Chiaviello, Jr.	Reg. 1	No.	32,461
Ann C. Livingston	Reg. 1	No.	32,479
William N. Hulsey III	Reg. 1	No.	33,402
Thomas R. Felger	Reg. 1	No.	28,842
Charles S. Fish	Reg. 1	No.	35,870
Wei Wei Jeang	Reg. 1	No.	33,305
Kevin J. Meek	Reg. 1	No.	33,738
Anthony E. Peterman	Reg. 1	No.	38,270
Barton E. Showalter	Reg. 1	No.	38,302
David G. Wille	Reg. 1	No.	38,363
Philip W. Woo	Reg. 1	No.	39,880
Bradley P. Williams	Reg. 1	No.	40,227
Terry J. Stalford	Reg. 1	No.	39,522
Christopher W. Kennerly	Reg. 1	No.	40,675
Daniel P. Stewart	Reg. 1	No.	41,332
Roger J. Fulghum	Reg. I	No.	39,678
Rodger L. Tate	Reg. I	No.	27,399
Scott F. Partridge	Reg. I	No.	28,142
James B. Arpin	Reg. 1	No.	33,470
James Remenick	Reg. I	No.	36,902

3

Jay B. Johnson	Reg. No. 38,193
Christopher C. Campbell	Reg. No. 37,291
Stacy B. Margolies	Reg. No. 39,760
Robert W. Holland	Reg. No. 40,020
Steven R. Sprinkle	Req. No. 40,825

all of the firm of Baker & Botts, L.L.P., my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and to file and prosecute any international patent applications filed thereon before any international authorities.

#### Send Correspondence To:

Direct Telephone Calls To:

Baker & Botts, L.L.P. 2001 Ross Avenue Dallas, Texas 75201-2980

Anthony E. Peterman at (512) 322-2599

Atty. Docket No.064113.0103

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

4

Full name of the first inventor

Geoffrey B. Hoese

Inventor's signature

Date

Residence (City, County, State)

Austin, Travis County,

Texas

Citizenship

United States of America

Post Office Address

1904 Ann Arbor Avenue Austin, Texas 78704

Full name of the second inventor

Jeffry T. Russell

Inventor's signature

Date

Residence (City, County, State)

Cibolo, Guadalupe County,

Texas

Citizenship

United States of America

Post Office Address

205 Kariba Cove Cibolo, Texas 78108

AT: ORNEY'S DOCKET 064113.0103)				
FORM PTO-1595 1-31-92 RECORDATION PATE	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office ENTS ONLY			
To the Honorable Commissioner of Patents and Trademark	ks. Please record the attached original documents or copy thereof.			
1. Name and Address of Conveying Party(ies):	2. Name and Address of receiving Party(ies):			
Geoffrey B. Hoese 1904 Ann Arbor Avenue	Name: Crossroads Systems, Inc.			
Austin, Texas 78704	Internal Address: Suite II-300			
☐ Individual/Citizenship: <u>United States of America</u>	Street Address: 9390 Research Blvd. City: Austin			
Additional name(s) of conveying party(ies) attached?  X Yes No	State/Zip Texas 78759			
3. Nature of conveyance:	7			
X Assignment Merger	■ Corporation/State  Texas			
Security Agreement Change of Name				
Other	<u> </u>			
	Additional name(s) & address(es)  Yes X  No			
Execution Date: December 22,1997	attached?			
4. Application number(s) or patent number(s):	the recognition data of the application is: December 22, 1997			
	on, the execution date of the application is: <u>December 22, 1997</u> B. Patent No.(s)			
A. Additional Number	'			
	6. Total number of applications and patents involved: 1			
concerning document should be mailed:  Name: Anthony E. Peterman	7. Total Fee (37 CFR 3.41): \$40.00			
Name: Anthony E. Peterman  Internal Address: Baker & Botts, L.L.P.	X Enclosed			
Street Address: 2001 Ross Avenue	Authorized to be charged to deposit account			
City/State/Zip Dallas, Texas 75201-2980	8. Deposit account number:			
City/State/Zip Dalias, 10Aas 75201 2500	(Attach Duplicate Copy of this page if paying by deposit account)			
DO NOT USE THIS SPACE				
9. Statement and signature.				
To the best of my knowledge and belief, the foregoing infor	mation is true and correct and any attached copy is a true copy of the			
original document.				
Anthony E. Peterman	December 31, 1997			
Name of Person Signing Signature	Date  Total number of pages including cover sheet 4			
OMB No. 0651-0011 (exp.4/94)				
Do not detach this portion				
Mail documents to be recorded with required cover sheet information:				
Commissioner of Patent and Trademarks Copy from Prior Application				
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Washington, D.C. 20231				
Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and				

reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of

Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503.

## U.S. Patent and Trademark Office

Recordation Form Cover Sheet -Form PTO-1595 (01/31/92)
Patents Only
Page 2
Attorneys Docket: 064113.0103
Section 1 -Name of conveying parties

Additional names (individual)

Jeffry T. Russell 205 Kariba Cove Cibolo, Texas 78108

United States of America



#### ASSIGNMENT

WHEREAS, we, the undersigned inventors of residence as listed, have invented certain new and useful improvements as below entitled, for which application for United States Letters Patent is made, said application having been executed on the date set forth below; and

WHEREAS, Crossroads Systems, Inc. (hereinafter referred to as "Assignee"), a Texas corporation, with its principal address at 9390 Research Blvd., Suite II-300, Austin, Texas 78759, desires to acquire our entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we assign to Assignee, all right, title and interest in and to the said invention and in and to the said application and all patents which may be granted therefor, and all divisions, reissues, continuations, continuations-in-part and extensions thereof; and we authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interests are concerned, to Assignee.

We also assign to Assignee, all right, title and interest in and to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world, including all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and we further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such right, title and interest in Assignee.

We will communicate to Assignee any facts known to us respecting any improvements; and, at the expense of Assignee, we will testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make lawful oaths and declarations, and generally do everything possible to vest title in Assignee and to aid Assignee to obtain and enforce proper protection for said invention in all countries.

This Assignment shall be binding on the parties' successors, assigns and legal representatives.

Title of Invention: STORAGE ROUTER AND METHOD FOR PROVIDING VIRTUAL LOCAL STORAGE

Signature of first Inventor:	m -
Inventor's Name:	Geoffrey B. Hoese
Residence (City, County, State)	Austin, Travis County, Texas
Date:	12/22/97
Date Application Executed:	12/22/97
Signature of second Inventor: Inventor's Name:	Jeffey T. Russell
Residence (City, County, State)	Cibolo, Guadalupe County, Texas
Date:	December 22, 1997
Date Application Executed:	December 22, 1997

Attorney Docket No.: CROSS-1120

(formerly 064113.0103)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Geoffrey B. Hoese, et al.

Serial No.

09/001799

Filing Date:

December 31, 1997

Group Art No.

Unknown

Title

STORAGE ROUTER AND METHOD FOR PROVIDING

VIRTUAL LOCAL STORAGE

# Copy from Prior Application

I hereby certify that this documents is being deposited in the United States Postal Service as first class mail on the date identified below in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

CERTIFICATION UNDER 37 CFR §1.8

reveces

4-15-98

Date

Assistant Commissioner of Patents Washington, D.C. 20231

### REVOCATION OF POWER OF ATTORNEYS

### <u>AND</u>

## POWER OF ATTORNEY AND CHANGE OF MAILING ADDRESS

Sir:

Crossroads Systems, Inc., which is the assignee of record of 100% of the right, title and interest in the above-identified application, as evidenced by the Assignment enclosed herewith, hereby revokes all previous Powers of Attorney and appoints the following attorneys, all of the firm of Gray Cary Ware & Freidenrich, LLP, to prosecute the above-identified patent application and to transact all business in the Patent and Trademark Office connected therewith.

WILLIAM N. HULSEY III	Registration No. 33,402
STEPHEN E. REITER	Registration No. 31,192
GREGORY P. RAYMER	Registration No. 36,647
DAVID F. KLEINSMITH	Registration No. 40,050
BARRY N. YOUNG	Registration No. 27,774
TIMOTHY W. LOHSE	Registration No. 35,255
STANLEY H. KIM	Registration No. 40,047
	·

**PATENT** 

Attorney Docket No.: 103671.991120

(formerly 064113.0103)

Applicant(s): Geoffrey B. Hoese, et al.

Serial No.: 09/001799 Filed: December 31, 1997

Page 2

457-7040.

MARNIE WRIGHT BARNHORST DARLENE W. HAYES RAMSEY R. STEWART STEVEN R. SPRINKLE MICHAEL A. HOFF Registration No. 36,740 Registration No. 33,899 Registration No. 38,322 Registration No. 40,825 Registration No. 40,018

We hereby state that we have reviewed and understand the contents of the aboveidentified specification, including the claims, as amended by any amendment(s) referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

Direct all telephone calls to WILLIAM N. HULSEY III at (512)

Address all correspondence to:

William N. Hulsey III
GARY CARY WARE & FREIDENRICH, LLP
100 Congress Avenue, Suite 1440
Austin, Texas 78701

Respectfully submitted,

CROSSROADS SYSTEMS, INC

Brian R. Smith

**Chief Technical Officer** 

Date:

AU\4001078.1 103671-991120

ATTORNEY'S DOCKET 064113.0103)								
FORM PTO-1595 1-31-92		NTS ONLY			Patent and	l Trade	mark (	Office
To the Honorable Commissioner of Pate	nts and Trademarks	. Please record	the attache	d original docu	iments of	copy t	hereof	
1. Name and Address of Conveying Party(ic		2. Name and	Address of	receiving Part	y(ies):			
Geoffrey B. Hoese		Name:		Crossroads Sy	ystems, I	nc.		
1904 Ann Arbor Avenue		Internal Addres	cc.	Suite II-300				
Austin, Texas 78704		Street Address:		9390 Research	h Blvd.			
☐ Individual/Citizenship: United St	ates of America	City:	•	Austin	ii <i>Di</i> vu.			
Additional name(s) of conveying party(ies) a  X Yes No	ttached?	State/Zip		Texas 7875	9			
3. Nature of conveyance:								
X Assignment M	erger		/State	Texa	ıs			
Security Agreement C	hange of Name							
Other		Additional nam	ne(s) & add	iress(es)		Yes	Х	No
Execution Date: December 22,1997		attached?						
4 Application number(s) or patent number(	s):							
If this document is being filed together wi	th a new application	, the execution of	date of the	application is:	Dece	mber 2	2. 199	7
<b>A</b> .		B. Patent No.	(s)					
	Additional Numbers					Yes	х	No
5. Name and address of party to whom correspondence		6. Total numb	er of appli	cations and pat	ents invo	lved:	1	
concerning document should be mailed:							·	
Name: Anthony E. Peterr	nan	7. Total Fee (	37 CFR 3.	41): \$40.00				
Internal Address: Baker & Botts, L.	L.P.	Х	Enclosed					
Street Address: 2001 Ross Avenue	<b>;</b>		Authorize	ed to be charge	d to depo	sit acco	ount	
City/State/Zip Dallas, Texas 752	201-2980	8. Deposit acc						
		(Attach	Duplicate Co	opy of this page if	paying by o	deposit ac	count)	
	DO NOT U	SE THIS SPACI	E					
9. Statement and signature.						a dama		th a
To the best of my knowledge and belief, t	he foregoing inform	ation is true and	correct an	a any attacnea	сору із с	ı ırue C	opy oj	une
original document.	Ash	NA.	-					
Anthony E. Peterman	100 4	4/8		<del></del>	Dece	mber 3	i. 1997	
Name of Person Signing Signing	gnature		Total nu	mber of pages	Date including	cover	sheet	4
OMB No. 0651-0011 (exp.4/94)								
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	Commissioner of		emarks					

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Washington, D.C. 20231

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Title of Invention: STORAGE ROUTER AND METHOD FOR PROVIDING VIRTUAL LOCAL STORAGE

Signature of first Inventor:	
Inventor's Name:	Geoffrey B. Hoese
Residence (City, County, State)	Texas
Date:	12/22/97
Date Application Executed:	12/22/97
Signature of second Inventor:	Jeffen Thulf
Inventor's Name:	Jeffry 7. Russell
Residence (City, County, State)	Cibolo, Guadalupe County, Texas
Date:	December 22, 1997
Date Application Executed:	Dec 1 00 1443